



Campaign Finance Report

HUMANA INC POLITICAL ACTION COMMITTEE
Committee #: 200002343

Treasurer: McKenzie, Mary
50 W Broad St, Ste 1420, Columbus, OH 43215
Phone: (614) 621-6333
Email: sstrack@humana.com

2002 January 31st Report

Election Cycle: 2001-2002
Date Filed: March 11, 2002
Reporting Period: November 28, 2000-December 31, 2001

Summary of Finances

| | |
|---|--------------|
| Cash Balance at Beginning of Reporting Period: | \$209,100.66 |
| Total Cash Receipts this Reporting Period: | \$1,023.21 |
| Total Cash Disbursements this Reporting Period: | \$0.00 |
| Cash Balance at End of Reporting Period: | \$210,123.87 |

Report ID: 18083

Summary of Activity

| Income | Schedule | This Period | | | Total to Date |
|--|----------|-------------|--------|------------|---------------|
| | | Cash | Other | Total | |
| Personal and Family Contributions | C1 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Individual Contributions | C2 | \$1,023.21 | \$0.00 | \$1,023.21 | \$1,023.21 |
| Contributions from Political Committees | C3 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Business Contributions | C4 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Small Contributions | C5 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| CCEC Funding and Matching | C6 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Qualifying Contributions | C7 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Loans Made to this Committee | L1 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Other Receipts, including Interest and Dividends | R1 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Transfers from Other Committees | T1 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Cash Surplus from Previous Committee | S1 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Total Income | | \$1,023.21 | \$0.00 | \$1,023.21 | \$1,023.21 |

| Expenditures | Schedule | This Period | | | Total to Date |
|---|----------|-------------|--------|--------|---------------|
| | | Cash | Other | Total | |
| Operating Expenses | E1 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Independent Expenditures | E2 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Contributions to Other Committees | E3 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Other Expenses | E4 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Transfers to Other Committees | T1 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Loans Made by This Committee | L2 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Expenditure of In-Kind Contributions | C8 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Disposal of Surplus Cash | S1 | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Total Expenditures | | \$0.00 | \$0.00 | \$0.00 | \$0.00 |
| Bill Payments for Previous Expenditures | D1 | \$0.00 | | \$0.00 | \$0.00 |
| Total Cash Disbursed | | \$0.00 | | | |

Schedule C2 - Individual contributions

| | | Date | Amount | Cycle To Date |
|--------------------|--|-------------|---------------|----------------------|
| Name: | Howatt, James W | 01/05/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 01/19/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 02/02/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 02/16/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 03/02/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 03/02/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 03/16/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 03/16/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 03/30/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 03/30/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 04/13/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 04/13/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |

Schedule C2 - Individual contributions

| | | Date | Amount | Cycle To Date |
|--------------------|--|------------|---------|---------------|
| Name: | Davis, Benton V | 04/27/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 04/27/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 05/16/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 05/16/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 05/16/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 05/25/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 05/25/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 05/25/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 06/08/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 06/08/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 06/08/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 06/22/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |

Schedule C2 - Individual contributions

| | | Date | Amount | Cycle To Date |
|--------------------|--|-------------|---------------|----------------------|
| Name: | Howatt, James W | 06/22/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 06/22/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 07/06/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 07/06/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 07/06/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 07/20/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 07/20/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 07/20/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 08/03/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 08/03/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 08/03/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 08/17/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |

Schedule C2 - Individual contributions

| | | Date | Amount | Cycle To Date |
|--------------------|--|-------------|---------------|----------------------|
| Name: | Howatt, James W | 08/17/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 08/17/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 08/31/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 08/31/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 08/31/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 09/14/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 09/14/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 09/14/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 09/28/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 09/28/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 09/28/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 10/12/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |

Schedule C2 - Individual contributions

| | | Date | Amount | Cycle To Date |
|--------------------|--|-------------|---------------|----------------------|
| Name: | Howatt, James W | 10/12/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 10/12/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 10/26/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 10/26/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 10/26/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 11/09/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 11/09/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 11/09/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 11/24/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 11/24/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 11/24/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 12/14/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |

Schedule C2 - Individual contributions

| | | Date | Amount | Cycle To Date |
|---------------------------------------|--|-------------|---------------|----------------------|
| Name: | Howatt, James W | 12/14/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 12/14/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Davis, Benton V | 12/21/2001 | \$5.57 | \$122.54 |
| Address: | 9493 E Shangri Lane Road, Scottsdale, AZ 85260 | | Cash | |
| Occupation: | Network Vice President, Humana Market Office - Phoenix B1074 | | | |
| Name: | Howatt, James W | 12/21/2001 | \$32.87 | \$854.62 |
| Address: | 4225 N 21st St, Unit 17, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Chief of Medical Affairs, Humana Market Office - Phoenix B1074 | | | |
| Name: | Marshall, Thomas E | 12/21/2001 | \$2.65 | \$45.05 |
| Address: | 16457 N 56th Pl, Scottsdale, AZ 85254 | | Cash | |
| Occupation: | Director Sales Small Group, Humana Market Office - Phoenix B1074 | | | |
| Name: | Saba, Stephanie S | 12/21/2001 | \$1.00 | \$1.00 |
| Address: | 3129 E Hazelwood St, Phoenix, AZ 85016 | | Cash | |
| Occupation: | Clinical Advisor, Humana Market Office - Phoenix B1074 | | | |
| Total of Individual Contributions | | | \$1,023.21 | |
| Total of Refunds Given | | | \$0.00 | |
| Net Total of Individual Contributions | | | \$1,023.21 | |
| | | | | |

